

# 1 Effects of Governance on Availability of Land for Agriculture and 2 Conservation in Brazil

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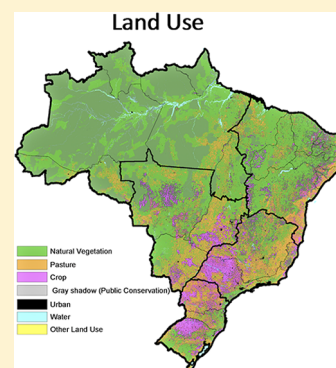
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9 **S** Supporting Information

10 **ABSTRACT:** The 2012 revision of the Brazilian Forest Act changed the relative importance  
11 of private and public governance for nature conservation and agricultural production. We  
12 present a spatially explicit land-use model for Brazilian agricultural production and nature  
13 conservation that considers the spatial distribution of agricultural land suitability,  
14 technological and management options, legal command, and control frameworks including  
15 the Atlantic Forest Law, the revised Forest Act, and the Amazonian land-titling, “Terra Legal,”  
16 and also market-driven land use regulations. The model is used to analyze land use allocation  
17 under three scenarios with varying priorities among agricultural production and environ-  
18 mental protection objectives. In all scenarios, the legal command and control frameworks  
19 were the most important determinants of conservation outcomes, protecting at least 80% of  
20 the existing natural vegetation. Situations where such frameworks are not expected to be  
21 effective can be identified and targeted for additional conservation (beyond legal  
22 requirements) through voluntary actions or self-regulation in response to markets. All  
23 scenarios allow for a substantial increase in crop production, using an area 1.5–2.7 times the  
24 current cropland area, with much of new cropland occurring on current pastureland. Current public arrangements that promote  
25 conservation can, in conjunction with voluntary schemes on private lands where conversion to agriculture is favored, provide  
26 important additional nature conservation without conflicting with national agricultural production objectives.



## 1. INTRODUCTION

27 In 2012, the Brazilian parliament passed the revised Forest Act  
28 (FA) (1),<sup>1</sup> which is the major legal framework for conservation  
29 of natural vegetation (NV) on private land. Implementation is  
30 currently underway. The long revision period has encompassed  
31 considerable research efforts;<sup>2–4</sup> debates took place throughout  
32 Parliament’s plenary sections and have continued since then;<sup>5–7</sup>  
33 national scientific societies have issued a comprehensive  
34 statement on the suggested changes;<sup>8</sup> environmental and  
35 rural civil society organizations have followed and engaged in  
36 discussions about the revision and implementation;<sup>9</sup> and  
37 specific follow-up studies and opinions have been pub-  
38 lished.<sup>10–12</sup> However, the relation of the revised FA to other  
39 public and private legal and regulatory frameworks is not yet  
40 clear.

41 Most NV in Brazil is found on private land where it is only  
42 partially protected. The FA regulates agricultural land use and  
43 its expansion in various ways by defining requirements on NV  
44 conservation and restoration. The FA protects NV on  
45 geographically delimited areas regarded most environmentally  
46 sensitive, e.g. riparian floodplains, steep slopes, and high  
47 altitudes (Areas of Permanent Protection), and defines a  
48 variable percentage of the farmland to be preserved, ranging

from 80% in the Amazonian Forest Biome, to 20% in most  
49 parts of Brazil.<sup>2</sup> 50

The recent revision of the Brazilian FA resulted in a weaker  
51 protection of NV and less demanding requirements on  
52 restoration planting and promotion of natural regeneration  
53 on agricultural land. The main strategies involved in the  
54 reduction of protection and regeneration requirements were (i)  
55 the compliance rules on the farmland established before July  
56 22, 2008; (ii) the extensive possibilities to compensate legal  
57 deficits outside the farm boundaries by using NV in surplus on  
58 farms in other regions; and (iii) the exemption of small farms  
59 from having to perform restoration. Because the FA  
60 implementation is still not finished, it is not yet possible to  
61 assess precisely how much of the restoration required if the  
62 previous version will remain, but several studies indicate that  
63 the protection of NV has decreased importantly and that the  
64 remaining restoration requirements are minor.<sup>10,2,11</sup> 65

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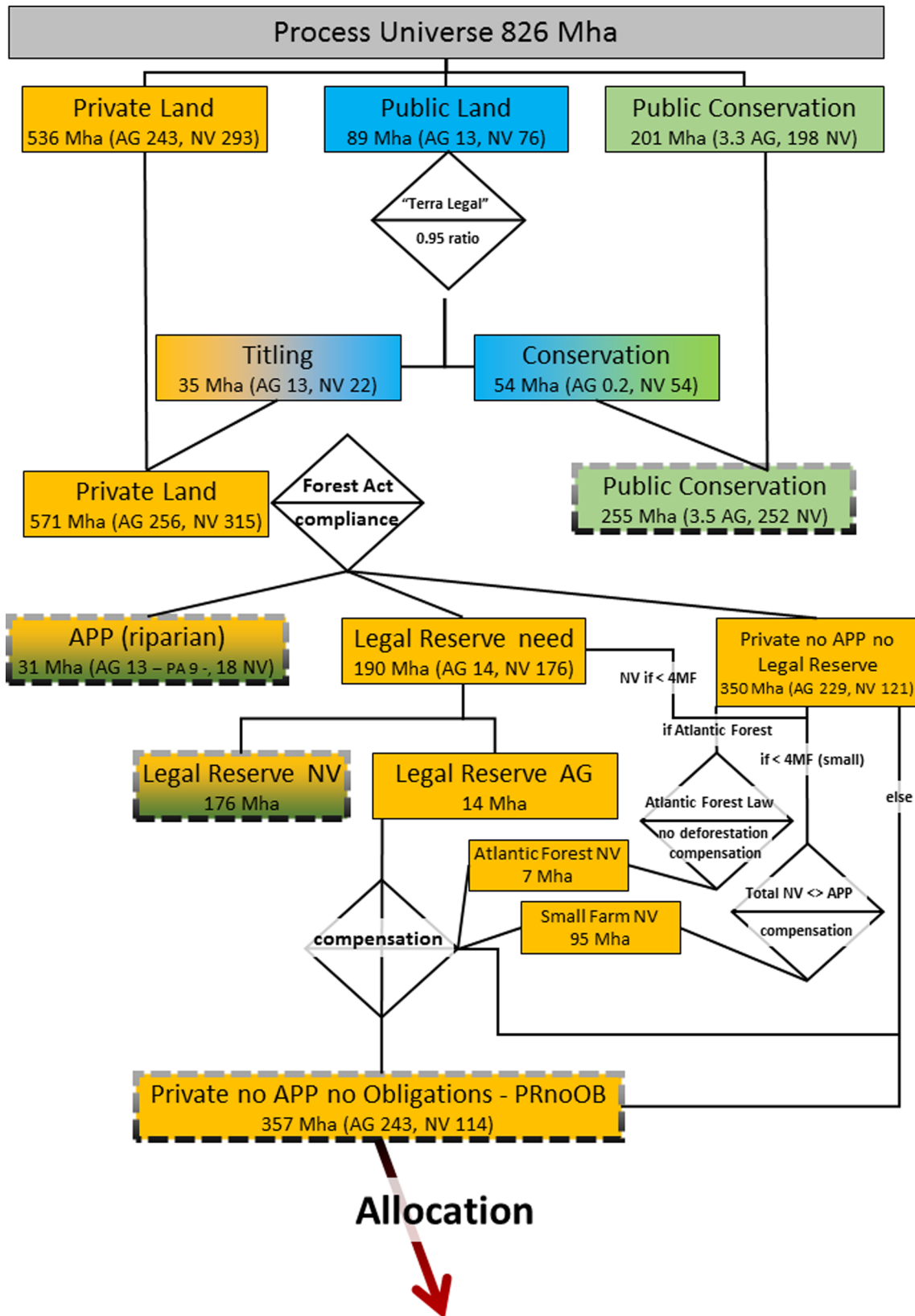


Figure 1. Structural description of process to identify land legally available for agriculture, with national area totals.

66 Improving land-use predictions and explanatory models still  
 67 poses important challenges, for comprehensiveness and for the  
 68 need to account for the complex interrelations of stakeholder  
 69 choices, the physical environment, and the complementary

effects of public and private governance.<sup>13,14</sup> The consequences  
 70 of the FA revision for nature conservation and agricultural  
 71 production will depend on how other public and private  
 72 governance systems address aspects that are given less weight in  
 73

74 the revised FA (see, e.g., refs 15–17, which discuss governance  
75 in the deforestation context). Important public governance  
76 systems in this regard include the Atlantic Forest Law (AFL)<sup>18</sup>  
77 and the Amazonian land titling initiative “Terra Legal”  
78 coordinated by the Ministry of Agrarian Development.<sup>19</sup>  
79 We used a spatially explicit land-use model to analyze the  
80 influence of public and private environmental protection on  
81 nature conservation and agricultural production in Brazil for  
82 three scenarios that differ with regard as to how they prioritize  
83 agricultural production and environmental protection objec-  
84 tives (further described in Section 2.2.2). The aim was to  
85 advance the understanding of (i) how public and private  
86 governance systems addressing nature conservation and  
87 agricultural production may influence Brazilian land use, and  
88 (ii) how the outcome depends on the relative priority of nature  
89 conservation and agricultural production objectives. The  
90 applied narrative and spatially explicit modeling approach  
91 may complement economic equilibrium modeling, which takes  
92 a coarser approach to land use/land cover, legislation, and other  
93 aspects influencing land use decisions. The approach is  
94 grounded in empirical data and scientific analysis of nature  
95 conservation and agricultural production in Brazil, thus well-  
96 suited for showing development pathways that deviate from  
97 historic experiences that provide the calibration foundation in  
98 economic equilibrium modeling.

## 2. EXPERIMENTAL SECTION

99 As described in more detail below, a previously developed  
100 spatially explicit land use model<sup>20</sup> was extended and updated to  
101 include relevant legislative changes from recent years. The  
102 model analysis is done in two steps: *first*, parts of private and  
103 public lands are reserved for nature conservation and  
104 environmental protection, so as to comply with legal command  
105 and control (C&C) frameworks; *second*, unreserved lands are  
106 allocated to specific land uses based on (i) agricultural land  
107 suitability and available technology and management options  
108 and (ii) the possible influence of additional local and market-  
109 driven land use regulations, e.g., agroecological zoning and  
110 voluntary commitments to standardization or certification  
111 schemes. For the second step, three scenarios are developed,  
112 one prioritizing conservation objectives, one prioritizing  
113 production objectives, and one that is neutral between those  
114 objectives.

### 2.1. Trends and Conditions Considered in the Model.

116 2.1.1. *Legal Compliance and Increased Standardization of*  
117 *Agricultural Production.* The trend in Brazilian agricultural  
118 production is toward greater legal compliance and stand-  
119 ardization. The approval in 2012 and current implementation  
120 of the revised FA changed the rules to facilitate legal  
121 compliance by reducing the requirements for land set-asides  
122 and/or NV restoration on productively used farmland. The  
123 revised FA also includes a comprehensive Environmental Rural  
124 Registry that facilitates monitoring and surveillance by  
125 government and civil society.<sup>10,2</sup> Amazonian deforestation  
126 rates have drastically declined since 2004 and are currently at  
127 the lowest recorded levels. Explanations for this decline include  
128 effective surveillance and articulated networking of civil society  
129 and governmental agencies, as well as actions among important  
130 stakeholders in the agriculture sector recognizing that  
131 businesses are negatively impacted by association with  
132 environmental degradation, especially in the Amazon (e.g.,  
133 the soy moratoria).<sup>16,17,21</sup> Consumer demand for certified  
134 agricultural products is increasing, and Brazilian agriculture is a

leader in adopting certification schemes.<sup>22</sup> Global corporations  
are increasing their share of agricultural business; these  
corporations are more sensitive to public image issues than  
individual farmers are, and they are also less permissive with  
respect to legal nonconformity.<sup>23</sup> Commitments in the land use  
sectors have become more ambitious: to substantially reduce or  
even reach zero (net or gross) deforestation, with 2020 as a  
common target year. Examples of important stakeholders and  
initiatives include Brazilian and State of Pará legislatures, trade  
or producer groups such as Consumer Goods Forum, Nestlé,  
and organizations such as Greenpeace and World Wildlife  
Fund.<sup>24</sup>

These trends toward increased compliance and adoption of  
voluntary control standards reflect underlying and long-term  
external and endogenous drivers. Land use allocation rules were  
therefore developed in the model with these trends as fixed  
conditions, so as to guarantee long-term full compliance with  
the legal C&C frameworks that are considered in the model  
(Section 2.2.1).

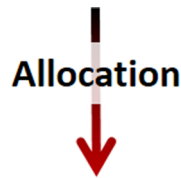
2.1.2. *Agricultural Expansion and Intensification.* One  
premise for the modeling is that demand for agricultural  
products will grow substantially. Global demand is driven by  
population growth, wealth increase, and distribution in  
populated poor regions,<sup>29,30</sup> dietary shifts to higher con-  
sumption of meat and dairy products,<sup>31</sup> and promotion of  
bioenergy products.<sup>32–34</sup> There is also a growing Brazilian  
demand for agricultural products.<sup>35</sup>

Another premise is that Brazilian agricultural production can  
increase to meet the rising demand while also meeting high  
environmental standards and avoiding extensive deforestation.  
Factors favoring the decoupling of agricultural growth from  
deforestation and negative environmental impacts include (a)  
substantial room for increased productivity on large areas  
already used for pasture production, with some of this  
pastureland available for intensive cropping;<sup>36,37</sup> (b) current  
low yields for several crops due to low adoption of existing  
technologies;<sup>38</sup> (c) favorable conditions for large-scale farming  
operations, attracting corporate investments that promote  
intensification while attempting to avoid or mitigate negative  
impacts; and (d) relatively good production infrastructure and  
supply of institutional research and development, improving  
likelihood of responsible cultivation practices.

2.2. *Model Components and Steps.* The model includes  
two principal land allocation steps, as described below.

2.2.1. *Reservation of Land for Compliance with Legal*  
*Provisions for Nature Conservation (Step 1).* In the first step,  
parts of private and public lands are reserved for nature  
conservation and environmental protection, so as to comply  
with C&C governance (Figure 1). This first step defines areas  
protected under C&C rules, which are linked with different  
institutional arrangements for enforcement, monitoring, and  
surveillance. Besides the FA, AFL, and expected outcomes of  
the “Terra Legal”, this includes public conservation land  
consisting of national, state, municipal, and private conservation  
parks under the National Framework for Conservation Units  
policy - SNUC,<sup>39</sup> arrangements for Indian Reservations  
managed by the National Indian Foundation (Fundação  
Nacional do Índio – FUNAI), and land use restrictions in  
military areas.<sup>40</sup>

Land is allocated as follows: (A) the Brazilian land base of  
826 million hectares (Mha) is allocated to (A.i) private land  
complying with the rules of the revised FA and AFL; (A.ii)  
public land under the rules of the “Terra Legal”; and (A.iii)



Current Land Use / Land Cover		Suitability for agriculture		Scenario		
Type	10 <sup>5</sup> ha (Mha)	Class	Mha	Conservation	Neutral	Production
Natural Vegetation (NV)	114	Very High	9,4	CR+	CR+	CR+
		High	18,8	NV	PA+	CR+
		Medium	23,8	NV	PA+	PA+
		Low	31,0	NV	NV	PA+
		Very Low	30,7	NV	NV	NV
Pasture (PA)	166	Very High	38,4	CR+	CR+	CR+
		High	35,3	PA+	CR+	CR+
		Medium	28,4	PA+	PA+	CR+
		Low	30,4	PA	PA	PA+
		Very Low	33,2	NV	NV	PA
Crop (CR)	77	Very High	30,2	CR+	CR+	CR+
		High	19,0	CR	CR+	CR+
		Medium	9,6	CR	CR	CR+
		Low	9,1	CR	CR	CR
		Very Low	9,2	NV	CR	CR

**Figure 2.** Land allocation on PRnoOB lands for the three Cases (NV = Natural Vegetation, PA = Pasture, CR = Crop, + = great potential for increasing current productivity).

198 public conservation land consisting of national, state, municipal,  
 199 and private conservation parks under the SNUC Indian  
 200 reservations and military areas. (B) The public land under  
 201 the rules of the “Terra Legal” is reclassified as either public  
 202 conservation land or private land depending on the occurrence  
 203 of NV. If the occurrence of NV in the process polygon is  
 204 greater than 95%, the land in this polygon is reclassified as a  
 205 public conservation area; otherwise it is reclassified as private  
 206 land and further processed for conservation requirements of the  
 207 FA and AFL. The threshold value of 0.95 was selected based on  
 208 the analysis shown in Figure S1. (C) Compliance rules are  
 209 processed for the private land to account for the FA  
 210 requirement that riparian buffers be established (*Áreas de*  
 211 *Preservação Permanente – APP*) and that a certain share of the  
 212 private farmland be protected as Legal Reserve (*Reserva Legal –*  
 213 *LR*). (D) The restrictions of the AFL and the LR compensation  
 214 rules of the FA are processed on the remaining private land.

215 The above steps generate a land category that consists of  
 216 private lands outside APP that have no obligations under the  
 217 FA or AFL. These private lands are designated Private no  
 218 Obligations (PRnoOB) lands. In all biomes, the LR deficit on  
 219 private farmland can be addressed through a compensation  
 220 mechanism or other legal adjustment. The LR deficits were  
 221 therefore considered PRnoOB areas in the modeling, i.e., FA-  
 222 LR C&C is assumed not to be the basis for any NV restoration  
 223 on agricultural land. The PRnoOB area does not include the  
 224 areas under agricultural use in APP because of the FA-imposed  
 225 management restrictions, but it should be noted that the  
 226 outcome of the state-level regulations during the still ongoing  
 227 implementation phase will determine whether agricultural use  
 228 will be allowed permanently, which in turn determines NV  
 229 restoration needs in APP areas.

230 Figure 1 provides a graphical description of the process along  
 231 with the total area for each category. The aggregated area values  
 232 for political states, regions, and biomes are shown in Supporting  
 233 Information (SI) Table S1. Note that in this first step not all  
 234 agricultural practices are forbidden on all the lands associated

with some type of restriction on agricultural land use: a few low  
 impact options are permitted on LR and APP lands, traditional  
 production systems are allowed on Indian reservations, and  
 smallholder farming is allowed in the Atlantic forest biome.

2.2.2. Land Use Allocation on PRnoOB Lands Depending  
 on the Relative Priority of Agricultural Production and  
 Environmental Protection Objectives (Step 2). In the second  
 step, the PRnoOB lands are either kept under current use/  
 vegetation cover or shifted to new uses/cover. Land use  
 decisions on PRnoOB lands are considerably less regulated by  
 C&C. Existing restrictions are associated with market-based  
 certification schemes, imposed by state level zoning, or  
 licensing as in the case of NV conversion and establishment  
 of associated capital and infrastructure (e.g., a sugar cane or  
 pulp and paper mills). The land allocation rules applied in step  
 2 reflect this less restrictive governance by markets, regulations,  
 incentives, and licenses.

Three scenarios are constructed that include land allocation  
 principles aligning with the incentive- or regulatory-based  
 private governance. The scenarios serve as proxies for different  
 approaches to voluntarily adopted conservation and/or  
 production promoting actions. They allocate land-use changes  
 (LUC) according to criteria usually considered in agro-  
 ecological and economic zoning, which are frequently used to  
 fulfill legal demands and meet requirements set by the National  
 Ministry of Environment<sup>25</sup> at the state level, e.g., investment  
 agencies such as the Brazilian Development Bank for sugar cane  
 investments,<sup>26,27</sup> governmental agencies in policy design, and  
 certification schemes (e.g., High Conservation Value assess-  
 ments). The criteria influence agricultural expansion patterns  
 by promoting or restricting specific activities and are additional  
 to the legal C&C frameworks such as FA, AFL, and “Terra  
 Legal”. Site-specific ‘go/no go’ decisions (e.g., to allow or not  
 allow a certain land use activity in a specific location or land  
 type), as determined based on these criteria, can guide  
 institutional efforts and investments to promote changes in  
 initial phases of development,<sup>28</sup> such as in the implementation

272 of the revised FA. The scenarios include distinctly different sets  
 273 of land allocation rules, representing differences in the relative  
 274 importance assigned to agricultural production and environ-  
 275 mental protection objectives. The land allocation rules consider  
 276 land use/cover type (NV, Pasture – PA, or Cropland – CR)  
 277 and land suitability class (Very High, High, Medium, Low, or  
 278 Very Low).

279 In the **Conservation** scenario, nature protection is a high  
 280 priority. Most of the NV on PRnoOB land is preserved, and  
 281 NV is also restored on part of PA and CR located in the Very  
 282 Low land suitability classes. Intensification of cropland or  
 283 pasture management (CR+ or PA+) and conversion of PA to  
 284 CR+ were restricted to the Very High land suitability classes,  
 285 which are associated with the lowest risk of environmental  
 286 impacts. The Very High land suitability classes are less sensitive  
 287 to soil erosion, fertilizer leaching, and pesticide pollution. Thus,  
 288 **Conservation** goes far beyond legal requirements concerning  
 289 NV protection by promoting NV conservation and restoration  
 290 on low quality cropland and pastureland and allowing only  
 291 environmentally sensible intensification options. Considering  
 292 that C&C regulation is minor and LUC consequently is the  
 293 outcome of voluntary commitments, realization of **Conserva-**  
 294 **tion** would likely require strong incentives, e.g., premium  
 295 payments for certified products, payment for environmental  
 296 services, REDD+, and LUC carbon prices.

297 In the **Production** scenario, the priority is to achieve a high  
 298 level of production, and there are fewer restrictions on  
 299 agronomic inputs and use of PRnoOB lands. NV conservation  
 300 is restricted to the Very Low land suitability classes, and there is  
 301 no NV restoration on existing agriculture lands. Crop  
 302 cultivation and pasture production are intensified, except for  
 303 on the Low and Very Low land suitability classes, increasing the  
 304 risks for negative externalities such as soil erosion, eutrophication,  
 305 and pollution (Figure 2). **Production** represents a  
 306 possible future in which incentives for conservation are weaker  
 307 than implied by current trends, and growth of demand for  
 308 Brazilian agriculture products is very strong.

309 The **Neutral** scenario represents an attempt to balance  
 310 agricultural production and environmental protection objec-  
 311 tives. The land use allocation rules for each case are shown in  
 312 Figure 2. The aggregated area values for political states and  
 313 regions and for biomes are shown in Table S2 in the SI.

314 The results of the second step align with trends observed in  
 315 empirical data analysis<sup>37</sup> accounting for the model assumptions  
 316 described above. Since the LUCs were not restricted by logistic  
 317 or other constraints, they should not be understood as possible  
 318 near-term LUC but as indicative of possible longer-term  
 319 dynamics. The model reports total and aggregated values  
 320 (states and biomes) and local representations in maps (*in color*  
 321 *scale applied to the 228,250 process polygons with average area of*  
 322 *3,729 ha*) showing final land use types, intermediate model  
 323 steps, and LUCs.

### 3. RESULTS AND DISCUSSION

324 **3.1. NV Protection on Private and Public Lands by**  
 325 **C&C.** Figure 1 shows the total areas subject to conservation,  
 326 and Table S1 in the SI shows the associated land use types and  
 327 changes for states, regions, and biomes. Maps 1–4 in the SI  
 328 show the input data on land use and land suitability classes.  
 329 Maps 5–6 in the SI show the initial tenure classes (private land,  
 330 public land, or public conservation) and the outcome of “Terra  
 331 Legal” polygon processing. Map 7 in the SI shows the  
 332 distribution of the LR deficit in relation to LR requirements –

the greater the relative deficit, the greater the role of off-farm  
 333 compensation rules in the model. Map 8 in the SI shows the  
 334 unprotected NV on private lands relative to NV on private  
 335 lands outside APP – the greater the ratio, the greater the share  
 336 that can be converted to agriculture legally. Figure 3 shows  
 337

#### Protected NV (public + private) in total NV

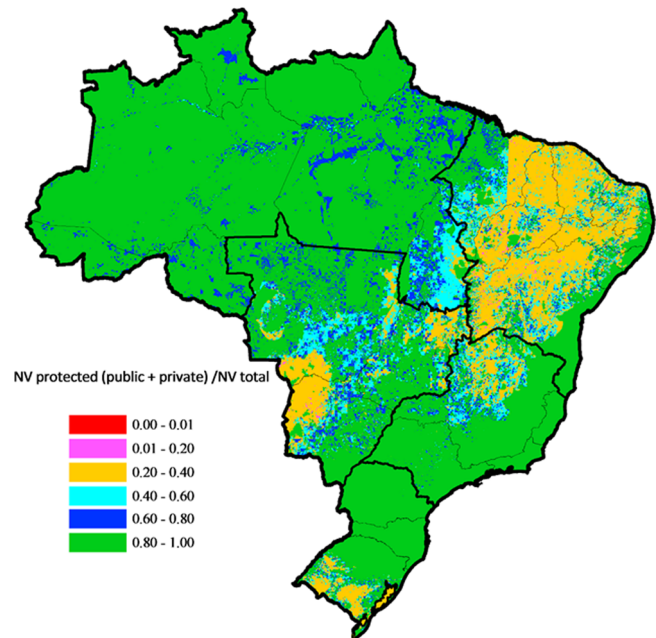


Figure 3. Ratio of protected Natural Vegetation to total Natural Vegetation.

protected NV (public and private) relative to total NV – the  
 338 greater the ratio, the greater the share of NV that is protected under  
 339 legal C&C frameworks on private or public land. 340

Including the possible contribution of “Terra Legal”, about  
 341 80% of the existing NV in Brazil is estimated to be under either  
 342 private or public C&C protection. About 250 Mha NV on  
 343 public land is protected and about 200 Mha NV on private land  
 344 is protected, with almost 90% and 10% protected by LR and  
 345 APP requirements, respectively (Figure S1). Some 7 Mha of  
 346 privately owned Atlantic Forest is protected by the AFL. 347  
 348 Roughly one-third of NV on private land (20% of total NV) is  
 349 not protected by these C&C frameworks. If we exclude the  
 350 projected results of “Terra Legal”, the area of protected NV on  
 351 public lands is about 50 Mha less. The ongoing conservation  
 352 initiative “Terra Legal” is comparable in size to the estimated  
 353 outcome of the more debated FA revision but is entirely  
 354 focused on the Legal Amazon region, adding roughly 28% of  
 355 NV to the existing Legal Amazon public conservation network  
 356 that contains 96% of the total area under public conservation.

Figure 3 shows the geographic effects of the dominating  
 357 C&C protection. The sharp straight line in the state of  
 358 Maranhão, dividing high protection rates to the left (green  
 359 color) and lower (yellow) to the right, corresponds to the Legal  
 360 Amazon region border, inside which the requirements of LR of  
 361 the FA are higher. Medium protection (yellow) usually  
 362 indicates surplus of NV in PRnoOB, coincident with low  
 363 agricultural suitability areas of the semiarid northeast Caatinga,  
 364 Pantanal and the lower half of Rio Grande do Sul Pampas. 365  
 366 These areas present substantial edaphic or climatic constraints  
 367 on agricultural development. In the remaining conserved

368 agricultural regions, C&C protection of remaining NV is very  
369 high, mainly because all the NV that could legally be converted  
370 to agriculture is already under agricultural use.

371 The estimates of total areas are similar to those in recent  
372 reports on the revision of the FA<sup>10</sup> as well as expected changes  
373 driven by this revision<sup>2</sup> done before the revision passed in 2012.  
374 When less aggregated, studies produce more varied results,  
375 especially for the Amazon region. The differences are mainly  
376 due to how public land is treated. To the best of our  
377 knowledge, this study is the first to include projections for the  
378 “Terra Legal” program showing figures confirming the  
379 environmental importance of this program. The order  
380 (hierarchy) of FA rules addressing the regulation of the existing  
381 legal deficits in the Amazonian biome is also a source of  
382 uncertainty. The revised FA does not clearly define this  
383 hierarchy, so the order will be decided during the  
384 implementation phase or established later, judicially.

385 The approach used to designate “Terra Legal” polygons as  
386 either private land or public conservation land led to the  
387 designation of 35 Mha of land in the Legal Amazon region as  
388 private land and 54 Mha as public conservation land (See Table  
389 S1. Only 0.2 Mha of land designated public conservation land  
390 was agricultural land.). The ratio of private to public  
391 conservation lands is consistent with current “Terra Legal”  
392 outcomes; so far, 23 Mha have been mapped (out of the 89  
393 Mha in total), and 12,000 private titles (1.5 Mha) have been  
394 issued, while 4 Mha have been designated public areas,  
395 predominately for conservation.<sup>19</sup> The remaining mapped  
396 areas are still waiting for final decisions. The modeled spatial  
397 and area distribution outcomes of “Terra Legal” are shown in  
398 Table S1 and Maps 5 and 6. Most titling is projected to occur  
399 in the states of Pará, Rondônia, and Tocantins, and most of the  
400 conservation is projected to occur in the states of Amazonas  
401 Acre and Roraima, around the already existing public  
402 conservation parks and Indian reservations.

403 The results confirm that “Terra Legal” is a key factor in  
404 consolidating the already existing Amazonian agricultural  
405 production that occurs mainly in the states of Pará and  
406 Rondônia and in restricting further expansion over mostly low  
407 suitability lands surrounding the existing network of parks in  
408 the state of Amazonas, Roraima, and Arce. The “Terra Legal”  
409 program was initiated by the Ministry of Agrarian Development  
410 for the main purpose of titling Amazonian spontaneous settlers  
411 (*posseiros*), but it has become a key environmental protection  
412 initiative complementary to the FA in the Amazon region.  
413 Despite its importance and relatively recent establishment, the  
414 “Terra Legal” program is not grounded on a specific public  
415 report or action, equivalent to the comprehensive Environ-  
416 mental Rural Registry of the FA, and is not prominently  
417 discussed in the environmental debates. Access to information  
418 that is essential for environmental NGOs depends on the more  
419 general national concept of free access to public information.<sup>41</sup>

420 LR deficits (14 Mha in total, see Map 7 in the SI) mirror the  
421 cropland distribution (Map 1 in the SI), and lower deficits are  
422 shown on pasturelands. Pastures more easily comply with a  
423 patchy agricultural landscape including conservation areas, as  
424 required for keeping set-aside areas as LR. The same applies for  
425 agricultural use in APP (Table S1 in the SI), where all states in  
426 the south and southeast regions (long-established and  
427 consolidated crop production), as well as the sugar cane  
428 cultivating states of the northeast (Alagoas and Sergipe), have  
429 more agricultural land use than NV in APP areas. In all other  
430 states there was more NV than agricultural land use in APP

areas. The expansion of crops over pasture, which has been  
431 identified as the primary option for Brazilian crop expan-  
432 sion,<sup>37,36,42</sup> is thus favored by landscape patchiness and relative  
433 abundance of NV, reducing transaction costs and facilitating  
434 compliance with FA and the targeting of certified and  
435 environmentally sensitive markets.

3.2. Land Use on PRnoOB. Figure 2 and Table S2 show  
437 the outcome of land use allocation on PRnoOB lands in the  
438 three scenarios, and Map 9 shows the proportion of agricultural  
439 land use (crop and pasture) on PRnoOB – *the greater the*  
440 *proportion, the more of the legally available land is used for*  
441 *agricultural production.*

3.2.1. NV on PRnoOB. Besides the 450 Mha of NV protected  
443 under C&C rules, 114 Mha of NV on PRnoOB lands rely on  
444 protection via non-C&C mechanisms and may be legally  
445 deforested. Most of this NV has limited value as agricultural  
446 land because of low physical suitability and/or remote location.  
447 However, technology shifts, increased demands for agricultural  
448 products, and logistic improvements may result in the  
449 conversion of some currently low value NV.

450 Another small part of the NV is located on good land in  
451 favorable locations, thus having a high likelihood of being  
452 converted to agricultural land (mainly CR+) in the near future.  
453 This part is relatively small since historic agricultural expansion  
454 has prioritized good locations and cropping conditions. Over  
455 time, most NV remnants were confined in remote and/or poor  
456 private lands or protected in C&C driven frameworks.

457 The spatial distribution of protected NV on PRnoOB lands is  
458 shown in Maps 10–14 in the SI, starting with the Very High  
459 and sequentially adding lower suitability classes. Most NV on  
460 Very High suitability land (9.4 Mha) is found in the Cerrado  
461 biome in the state of Bahia and north-central and northwest  
462 parts of Mato Grosso, mainly surrounding the Xingu National  
463 Park, an area that coincides with the current agricultural  
464 frontier.<sup>37</sup> Additional NV on High (18.8 Mha) and Medium  
465 (23.8 Mha) suitability lands is found in the Cerrado biome in  
466 the west-central and northeast regions, the upstream of the  
467 Pantanal biome, some transitions of Cerrado to the semiarid  
468 Caatinga in the northeast regions, and areas surrounding most  
469 of the public parks and Indian reservations in the Amazon  
470 biome. Further NV is found on Low (31.0 Mha) suitability  
471 lands in the northeast semiarid Caatinga and additional areas of  
472 the Amazon biome, mainly along the floodplains of large rivers.  
473 Much of the NV on lower suitability classes is found in more  
474 environmentally sensitive areas (e.g., the surroundings of the  
475 Amazonian parks, the upstream of the Pantanal) and where the  
476 risk of crop failure is relatively high (e.g., the semiarid region of  
477 the Caatinga biome). The land cover types CR and, especially,  
478 CR+ are associated with agronomic technologies that are  
479 challenging to employ on low suitability lands due to  
480 limitations such as marginal climate, steep slopes, and poor  
481 soils. The expansion of CR+ is therefore naturally limited to  
482 environmentally less sensitive locations. Most environmentally  
483 sensitive areas are naturally suited for more extensive pasture  
484 production or cultivation systems less dependent on mecha-  
485 nization and high-input technologies, commonly managed on  
486 smaller scales.

3.2.3. LUC Allocation in the Three Scenarios. Figure 2  
488 shows the LUC outcome in the three scenarios. Aggregated  
489 values for states, regions, and biomes are shown in Table S2,  
490 and spatial distributions are shown on Maps 15–23 in the SI. In  
491 Conservation, marginal lands are set aside for NV restoration,  
492 in line with the Brazilian experience of abandonment of 493

494 agricultural land after the consolidation period;<sup>37</sup> high perform-  
 495 ing cropping systems expand on NV and pastures on Very High  
 496 suitability lands; and beef production increases through land  
 497 productivity improvements on pastures situated on High and  
 498 Medium suitability lands. Geographically (Maps 15–18 in the  
 499 SI), NV prevails on PRnoOB lands in the entire Legal Amazon  
 500 region, Pantanal, steep areas along the Atlantic forest biome  
 501 and climatically marginal areas of the northeastern and  
 502 southeast semiarid regions. The remaining pasture area  
 503 occupies larger parts of the state of Rondônia and Roraima,  
 504 south of Acre a larger extension of north of Tocantins,  
 505 Maranhão, and Pará. In the south of Brazil, we see a more  
 506 patchy distribution of pastures on the lower suitability classes  
 507 associated with steep slopes. Crops dominate on PRnoOB  
 508 lands in most of the Cerrado biome and northwest of Rio  
 509 Grande do Sul, showing a much-aggregated geography. In total,  
 510 NV on PRnoOB lands increases by about 30% and croplands  
 511 increase 1.5 times, while pasturelands decrease more than 40%.

512 In **Production** there is no NV restoration: pasture expands  
 513 on NV lands and pasture production is intensified even on  
 514 lower suitability lands. NV and pastures on lower suitability  
 515 lands are converted to improved croplands (Figure 2).  
 516 Geographically (Maps 21–23), NV prevails on PRnoOB  
 517 lands in the semiarid northeastern Caatinga biome and steep  
 518 slopes or extremely poor soils of the Cerrado biome and  
 519 Pampas but is replaced by pastures or croplands in the other  
 520 areas, which would presumably lead to GHG emissions. Crops  
 521 dominate landscapes, while pastures show a more patchy  
 522 distribution occupying the lower suitability areas surrounded by  
 523 crops. Agronomic intensification (i.e., large-scale mechaniza-  
 524 tion, monocultures, and high fertilizer and pesticide inputs) on  
 525 lower suitability lands potentially increases impacts associated  
 526 with soil erosion and environmental pollution. In total, more  
 527 than two-thirds of NV on PRnoOB lands is converted to  
 528 agriculture; croplands increase 2.7 times, while pasturelands  
 529 decrease about 30%.

530 In **Neutral**, NV conversion to improved croplands and  
 531 pastures is partly balanced by NV restoration on pastures  
 532 situated on Very Low suitable lands. Geographically (Maps 17–  
 533 20), NV expands on PRnoOB lands, only excluding areas with  
 534 continuous prevalence of Very High and High suitability lands  
 535 in São Paulo, Mato Grosso do Sul, north of Mato Grosso, and  
 536 the east margin of the São Francisco river in the state of Bahia,  
 537 where crops would occupy larger portions of the rural  
 538 landscape. Pastures expand over the Medium and Low  
 539 suitability lands throughout Brazil, seldom dominating the  
 540 landscapes but rather integrating with other land cover/land  
 541 use in landscapes dominated by either croplands or NV. In  
 542 total, NV on PRnoOB lands is reduced by 17%, croplands  
 543 increase 2.1 times, and pasturelands decrease by about 40%,  
 544 with roughly 70% of the remaining pastureland placed under  
 545 intensified use.

546 As illustrated above, future NV protection and restoration  
 547 beyond what is under C&C mechanisms depends on how  
 548 incentives influence the balance between conservation and  
 549 production objectives. Given the volatile situation following the  
 550 gradual shift from C&C governance frameworks toward  
 551 market-based intervention or voluntary certification schemes,  
 552 it is highly uncertain how this balance will evolve over time.

553 **3.3. Combined C&C and Private Voluntary Govern-**  
 554 **nance.** The revision of the FA resulted in weaker NV  
 555 protection, but 80% of the NV in Brazil is still under private or  
 556 public C&C legal protection. The current trend<sup>43</sup> of further

weakening of NV protection can be addressed through 557  
 improved private sector compliance with legislation and 558  
 through local actions better aligned with the global environ- 559  
 mental policies that the Brazilian government already has 560  
 ratified.<sup>44</sup> Promotion of relevant public institutions and 561  
 monitoring/enforcement frameworks and support for civil 562  
 society activism and surveillance can increase the likelihood of 563  
 such changes. This promotion does not have to be prohibitively 564  
 expensive but can involve organizational challenges and needs 565  
 to be coordinated with ongoing societal processes influencing 566  
 governance in other important areas such as poverty, economic 567  
 development, health care, education, and food security. 568  
 Examples of measures and activities that show promise include 569  
 surveillance and transparency tools, certification and market 570  
 regulation, publicity and access to public information, and 571  
 actions against corruption. At the national level, the key 572  
 concern is that C&C frameworks (actions and targets) are 573  
 managed on several organizational levels across a wide range of 574  
 institutions that are not always exclusively concerned with 575  
 conservation. Further, frameworks and mechanisms promoting 576  
 cooperation and efficiency are lacking. 577

Most of the legally unprotected NV that depends on 578  
 voluntary commitments for its protection has a patchy spatial 579  
 distribution pattern. C&C is not as efficient on patchy private 580  
 landscapes where production and conservation occur side by 581  
 side, and incentives for voluntary NV protection can be 582  
 important complements. Only 10–25% of the unprotected NV 583  
 is attractive from an agricultural point of view (under current 584  
 technology options and logistics infrastructure). Much of the 585  
 remaining NV is found in the Amazon and upstream of the 586  
 ecologically important Pantanal and in the climatically marginal 587  
 areas of the northeastern semiarid Caatinga biome where 588  
 agricultural production would be risky. In these areas, 589  
 protecting NV is important so as to avoid unnecessary NV 590  
 conversion that would not make an important contribution to 591  
 agricultural production in Brazil anyway. In **Conservation** and 592  
**Neutral**, cropland area increases 1.5 and 2.7 times, respectively, 593  
 without using much land in these areas. 594

Achieving incentive-based NV protection requires far-reach- 595  
 ing changes in the beef sector. These changes include major 596  
 productivity improvements and changes in a culture that has 597  
 been shaped by a long period of expanding agricultural area. 598  
 The ample supply of new land in frontier regions has enabled 599  
 extensive cow-calf production and fostered a culture, among 600  
 producers and technology supply companies that considers 601  
 management options to increase land-use efficiency of cow-calf 602  
 operations less important. The ambition to decouple 603  
 agricultural development from deforestation and the large 604  
 investments into pasture intensification in the ABC program 605  
 contribute to improve conditions for NV protection. However, 606  
 complementary protection of NV is lagging, and this means 607  
 that NV that is distributed in patchy patterns is still at risk of 608  
 being converted to make room for extensive grazing or other 609  
 activities. Thus, direct investments in conservation are 610  
 strategically important for protecting NV in Brazil.<sup>45</sup> 611

## ■ ASSOCIATED CONTENT 612

### 📄 Supporting Information 613

The Supporting Information is available free of charge on the 614  
 ACS Publications website at DOI: 10.1021/acs.est.5b01300. 615

Tables S1 and S2, Figure S1, and Maps 1–23 (PDF) 616

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## 625 Notes

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